

**AKG EXIM LIMITED**

CIN: L00063DL2005PLC139045

Regd. Off.: H.B. Twin Tower, 07th Floor, Netaji Subhash Place, Pitampura, Delhi-110034  
Behind Kali Mata Temple, Rohini, Delhi-110085  
Corp. Off.: Unit No.237, Tower B, Spazedge, Sector-47, Sohna Road, Gurgaon, 122018  
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AKG EXIM



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**ANNEXURE I**

**NAME OF LISTED ENTITY: AKG EXIM LIMITED**

Quarter Ending: 30th September, 2021

**I. Composition of Board of Directors**

Title (Mr. / Ms.)	Name of Director	PAN & DIN	Category (Chairperson / Executive / Non- Executive / Independent / Nominee)	Initial Date of Appointment, Date of Reappointment and Date of Cessation	Date of Birth	Tenur e*	No of Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	No. of Independent Directorships in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of Memberships in Audit / Stakeholder Committees including this entity (Refer Regulation 26(1) of Listing Regulations)	No. of posts of Chairman in Audit / Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations )
Mrs.	Mahima Goel	PAN- ABZPG8712D DIN - 02205003	Managing Director	26.03.2009 (Appointment as an Executive Director) 16.06.2020 (Appointment as a Managing Director)	07.02.19 77	-	1	-	1	-

For AKG EXIM LIMITED  
Auth. Sign.



Mr.	Rajeev Goel	PAN- AAJPG4108N DIN - 01507297	Non- Executive Director	26.07.2005 (Appointment as a First Director) 29.01.2018 (Re- appointment as MD) 11.06.2020 (as Non- executive director)	23.12.19 74	-	1	-	-	-
Mr.	Rakesh Mohan	PAN - AAAPM1773G DIN- 07352915	Non- Executive Independent Director	04.01.2018 (Appointment as an Additional Independent Director) 20.07.2018 (Appointment as an Independent Director))	02.01.19 52	5 years	3	3	2	2
Mr.	Rao Laxman Singh	PAN- AAJPY3453A DIN- 08055192	Non- Executive Independent Director	18.01.2018 (Appointment as an additional director) 20.07.2018 (Appointment as Non- Executive Director) 11.01.2021 (Appointment as an Independent Director)	01.08.19 57	5 years	1	1	1	-
Mr.	Kartik Guglani	PAN - BTAPK2249BDI N- 08666676	Non- Executive Independent Director	24.01.2020 (Appointment)	18.12.19 89	5 years	-	1	3	-
Mr.	Rahul Bajaj	PAN- AOUPB5442B DIN- 03408766	Executive Director and Non-	16.06.2020 (Appointment)	29.10.19 89	-	1	-	-	-

For AKG EXIM LIMITED  
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\* To be filled in only for Independent Director. Tenure would mean total period from which Independent Director is serving on Board of Directors of the listed entity in continuity without any cooling off period.

- a. Whether Regular Chairperson appointed: No
- b. Whether Chairperson is related to managing director or CEO: N.A.

#### II. Composition of Committees:

Name of Committee	Whether regular chairperson appointed	Name of Committee Members	Category (Chairperson / Executive / Non-Executive / Independent / Nominee)	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	1. Mr. Rakesh Mohan 2. Mr. Kartik Guglani 3. Mrs. Mahima Goel	Chairperson, Independent & Non-Executive Director Independent & Non – Executive Director Managing Director	1. 18.01.2018 2. 16.06.2020 3. 18.01.2018	NA
2. Nomination Committee	Yes	1. Mr. Rakesh Mohan 2. Mr. Laxman Singh Yadav 3. Mr. Kartik Guglani	Chairperson, Independent & Non-Executive Director Independent & Non – Executive Director Independent & Non – Executive Director	1. 27.07.2019 2. 18.01.2018 3. 16.06.2020	NA
3. Risk Management Committee		Not Applicable			
4. Stakeholders' Relationship Committee	Yes	1. Mr. Rakesh Mohan 2. Mr. Laxman Singh Yadav 3. Mr. Kartik Guglani	Chairperson, Independent & Non-Executive Director Independent & Non – Executive Director Independent & Non – Executive Director	1. 27.07.2019 2. 18.01.2018 3. 16.06.2020	NA

#### III. Meetings of Board of Directors:

Dates of Meetings in previous quarter	Dates of Meetings in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors' present	Maximum gap between any two consecutive meetings (in number of days)
	13.08.2021	Yes	6	3	44 days
29.06.2021		Yes	6	3	135 days**



\* In terms of compliance of maximum gap between two consecutive meetings of Board/Committee, it is hereby clarified that Ministry of Corporate Affairs (MCA) vide its General Circular No. 08/2021 dated 03rd May, 2021 has allowed the Company to hold the Board and/or Committee Meeting after a gap of 120 days.

Further, it is stated that the period of 120 days shall be counted as 180 days till the next two quarters i.e., Quarter - April to June 2021 and Quarter - July to September, 2021.

#### IV. Meetings of Committees:

Dates of Meetings of the committee in the relevant quarter	Whether requirement of Quorum met (Details)	Number of Directors present*	Number of independent directors' present*	Date(s) of meetings of the Committee in previous quarter*	Maximum gap between any two consecutive meetings (in number of days) *
Audit Committee Meeting - 13.08.2021	Yes, the following members were present 1. Mr. Rakesh Mohan 2. Mrs. Mahima Goel 3. Mr. Kartik Guglani	3	3	29.06.2021	44 days

Dates of Meetings in the relevant quarter	Whether requirement of Quorum met (Details)	Date(s) of meetings of the Committee in previous quarter*	Number of Directors present*	Number of independent directors' present*	Maximum gap between any two consecutive meetings (in number of days) *
Stakeholders Relationship Committee - 13.08.2021	Yes, the following members were present 1. Mr. Rakesh Mohan 2. Mr. Rao Laxman Singh 3. Mr. Kartik Guglani	29.06.2021	3	3	44 days

Dates of Meetings in the relevant quarter	Whether requirement of Quorum met (Details)	Date(s) of meetings of the Committee in previous quarter*	Number of Directors present*	Number of independent directors' present*	Maximum gap between any two consecutive meetings (in number of days) *
Nomination & Remuneration Committee- 13.08.2021	Yes, the following members were present 1. Mr. Rakesh Mohan 2. Mr. Rao Laxman Singh 3. Mr. Kartik Guglani	29.06.2021	3	3	44 days

\* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional.

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V. Related Party Transactions:

Subject	Compliance Status (Yes / No / NA) *
Whether prior approval of Audit Committee obtained	Yes
Whether Shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

\*Note: 1. In column 'Compliance Status', compliance or non-compliance may be indicated by Yes/No/NA.

For example, if the Board has been composed in accordance with the requirements of Listing Regulations, 'Yes' may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words, 'NA' may be indicated.

2. If status is 'No', details of non-compliance may be given here.

VI. Affirmations:

1. The composition of the Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations 2015.
2. The composition of the following Committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations 2015.
  - a. Audit Committee
  - b. Nomination & Remuneration Committee
  - c. Stakeholders Relationship Committee
  - d. Risk Management Committee (applicable to Top 1000 listed entities) – **Not Applicable.**
3. The Committee members have been made aware of their powers, roles and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations 2015.
4. The meetings of the Board of Directors and the above Committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations 2015.
5. The report and / or the report submitted in the previous quarter has been placed before the Board of Directors. Any comments / observations / advice of the Board of Directors may be mentioned here: **Not Applicable**

For AKG EXIM LIMITED

For AKG EXIM LIMITED

  
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Pragati Parnika

(Company Secretary/ Compliance Officer)

M.No.: A58202

e-CSIN: EA058202B000113774

Place: Gurugram

Date: 20.10.2021



**ANNEXURE II**  
**AKG Exim Limited**

CIN: -L00063DL2005PLC139046	Symbol: AKG	Quarter Ending: 30.09.2021
<b>I. Disclosure on website in terms of Listing Regulations:</b>		
Item	Compliance Status (Yes, No, NA)	If yes provide link to website. If No / NA provide reasons
<b>As per regulation 46(2) of the LODR:</b>		
a) Details of business	YES	<a href="https://www.akg-global.com/about-us/">https://www.akg-global.com/about-us/</a>
b) Terms and conditions of appointment of independent directors	YES	<a href="https://www.akg-global.com/wp-content/uploads/2019/04/Terms-Conditions-of-Appointment-of-Independent-Directors.pdf">https://www.akg-global.com/wp-content/uploads/2019/04/Terms-Conditions-of-Appointment-of-Independent-Directors.pdf</a>
c) Composition of various committees of board of directors	YES	<a href="https://www.akg-global.com/wp-content/uploads/2019/04/Terms-Conditions-of-Appointment-of-Independent-Directors.pdf">https://www.akg-global.com/wp-content/uploads/2019/04/Terms-Conditions-of-Appointment-of-Independent-Directors.pdf</a>
d) Code of conduct of board of directors and senior management personnel	YES	<a href="http://www.akg-global.com">www.akg-global.com</a>
e) Details of establishment of vigil mechanism or whistle blower policy	YES	<a href="https://www.akg-global.com/wp-content/uploads/2019/04/Vigil-Mechanism-Policy.pdf">https://www.akg-global.com/wp-content/uploads/2019/04/Vigil-Mechanism-Policy.pdf</a>
f) Criteria of making payments to non-executive directors	NA	NA
g) Policy on dealing with related party transactions	YES	<a href="https://www.akg-global.com/wp-content/uploads/2021/01/Policy-on-Related-Party-Transactions-AKG-1.pdf">https://www.akg-global.com/wp-content/uploads/2021/01/Policy-on-Related-Party-Transactions-AKG-1.pdf</a>
h) Policy for determining material subsidiaries	YES	<a href="http://www.akg-global.com">www.akg-global.com</a>
i) Details of familiarization programmes imparted to independent directors	YES	<a href="http://www.akg-global.com">www.akg-global.com</a>
j) Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	YES	<a href="https://www.akg-global.com/investors/list-of-kmp/investor-grievance/">https://www.akg-global.com/investors/list-of-kmp/investor-grievance/</a>
k) Email address for grievance redressal and other relevant details	YES	<a href="https://www.akg-global.com/investors/list-of-kmp/investor-grievance/">https://www.akg-global.com/investors/list-of-kmp/investor-grievance/</a>
l) Financial results	YES	<a href="http://www.akg-global.com">www.akg-global.com</a>

For AKG EXIM LIMITED

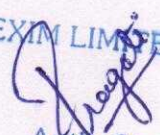
  
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m) Shareholding pattern	YES	<a href="http://www.akg-global.com">www.akg-global.com</a>
n) Details of agreements entered into with the media companies and/or their associates	NA	NA
o) Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA	NA
p) New name and the old name of the listed entity	NA	NA
q) Advertisements as per regulation 47 (1)	YES	<a href="https://www.akg-global.com/investors/corporate-governance/announcement/">https://www.akg-global.com/investors/corporate-governance/announcement/</a>
r) Credit rating or revision in credit rating obtained by the entity for all its outstanding instruments	NA	NA
s) Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	YES	<a href="https://www.akg-global.com/">https://www.akg-global.com/</a>
<b>As per other regulations of the LODR:</b>		
a) Whether company has provided information under separate section on its website as per Regulation 46(2)	YES	<a href="https://www.akg-global.com/">https://www.akg-global.com/</a>
b) Materiality Policy as per Regulation 30	YES	<a href="https://www.akg-global.com/">https://www.akg-global.com/</a>
c) Dividend Distribution policy as per Regulation 43A (as applicable)	YES	<a href="https://www.akg-global.com/wp-content/uploads/2019/04/Dividend-Distribution-Policy.pdf">https://www.akg-global.com/wp-content/uploads/2019/04/Dividend-Distribution-Policy.pdf</a>

*It is certified that these contents on the website of the listed entity are correct.*

<b>II. Annual Affirmations</b>		
<b>Particulars</b>	<b>Regulation Number</b>	<b>Compliance Status (Yes, No, NA)</b>
Independent directors have been appointed in terms of specified criteria of independence and or eligibility	16(1)(b) & 25(6)	Yes
Board composition	17(1), 17(1A) & 17(1B)	Yes
Meeting of board of directors	17(2)	Yes
Quorum of Board Meeting	17(2A)	Yes
Review of compliance reports	17(3)	Yes
Plans for orderly succession for appointments	17(4)	Yes
Code of conduct	17(5)	Yes

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Fees or compensation	17(6)	Yes
Minimum information	17(7)	Yes
Compliance certificate	17(8)	Yes
Risk assessment and management	17(9)	Yes
Performance evaluation of independent directors	17(10)	Yes
Recommendation of board	17(11)	Yes
Maximum number of directorships	17(A)	Yes
Composition of Audit Committee	18(1)	Yes
Meeting of audit committee	18(2)	Yes
Composition of Nomination and Remuneration Committee	19(1) & (2)	Yes
Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes
Meeting of Nomination & Remuneration Committee	19(3A)	Yes
Composition of Stakeholder Relationship Committee	20(1), 20(2) and 20(2A)	Yes
Meeting of Stakeholder Relationship Committee	20(3A)	Yes
Composition and role of risk management committee	21(1),(2),(3),(4)	NA
Meeting of Risk Management Committee	21(3A)	NA
Vigil mechanism	22	Yes
Policy for related party transaction	23(1), (1A),(5),(6),(7) & (8)	Yes
Prior or omnibus approval of audit committee for all related party transactions	23(2), (3)	Yes
Approval for material related party transactions	23(4)	Yes
Disclosure of related party transactions on consolidated basis	23(9)	Yes
Composition of board of directors of unlisted material subsidiary	24(1)	Yes
Other corporate governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes
Annual Secretarial Compliance Report	24(A)	Yes
Alternate Director to Independent Director	25(1)	NA
Maximum tenure	25(2)	Yes
Meeting of independent directors	25(3) & (4)	Yes
Familiarization of independent directors	25(7)	Yes
Declaration from Independent Director	25(8) & (9)	Yes
Directors and Officers insurance	25(10)	Yes
Memberships in committees	26(1)	Yes
Affirmation with compliance to code of conduct from members of board of directors and senior management personnel	26(3)	Yes
Disclosure of shareholding by non-executive directors	26(4)	Yes
Policy with respect to obligations of directors and senior management	26(2) & 26(5)	Yes
<b>Note:</b>		



1. In the column "Compliance Status", Compliance or Non-Compliance may be indicated by Yes / No /NA. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the word "NA" may be indicated.
2. If the status is "No" details of Non - compliance may be given here.
3. If listed entity would like to provide any other information the same may be indicated here.

**III. Affirmations:**

The listed entity has approved the Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of listed entity have been complied

For AKG Exim Limited



Auth. Sign.

Pragati Parnika

(Company Secretary/ Compliance Officer)

M. No.: A58202A

e-CSIN: EA058202B000113774

Place: Gurugram


Date: 20.10.2021



## ANNEXURE-III

AKG EXIM LIMITED

CIN: -L00063DL2005PLC139046	Symbol: -AKG	Quarter Ending: -30.09.2021
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<b>I Affirmations</b>		
<b>Broad heading</b>	<b>Regulation Number</b>	<b>Compliance status (Yes/No/NA) refer note below</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
Presence of Chairperson of the Nomination and Remuneration Committee at the Annual General Meeting	19(3)	Yes
Presence of Chairperson of the Stakeholder Relationship Committee at the Annual General Meeting	20(3)	Yes
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with Para C of Schedule V	Yes
<p><b>Note:</b></p> <ol style="list-style-type: none"> <li>1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.</li> <li>2. If status is "No" details of non-compliance may be given here.</li> <li>3. If the Listed Entity would like to provide any other information the same may be indicated here.</li> </ol>		
<p><b>For AKG Exim Limited</b></p> <p>For AKG EXIM LIMITED</p> <p></p> <p>Pragati Pannika Sign. (Company Secretary/ Compliance Officer) M. No.: A58202A e-CSIN: EA058202B000113774 Place: Gurugram Date: 20.10.2021</p>		





**Format to be submitted twice a year, on a half yearly basis by the listed entity at the end of every 6 months of the financial year**

Half year ending – 30-09-2021

**I. Disclosure of Loans / guarantees / comfort letters / securities etc.** refer note below

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to:

Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	NIL	NIL
Promoter Group or any other entity controlled by them	NIL	NIL
Directors (including relatives) or any other entity controlled by them	Rs. 40,99,251.12/-	Rs. 99,84,743.12/-
KMPs or any other entity controlled by them	NIL	NIL

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them	NIL	NIL	NIL
Promoter Group or any other entity controlled by them	NIL	NIL	NIL
Directors (including relatives) or any other entity controlled by them	NIL	NIL	NIL
KMPs or any other entity controlled by them	NIL	NIL	NIL

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

For AKG EXIM LIMITED

*Rahul Bhat*

C.F.O.





Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	NIL	NIL	NIL
Promoter Group or any other entity controlled by them	NIL	NIL	NIL
Directors (including relatives) or any other entity controlled by them	NIL	NIL	NIL
KMPs or any other entity controlled by them	NIL	NIL	NIL

**II. Affirmations:**

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.

For AKG Exim Limited  
For AKG EXIM LIMITED

*Rahul Bajaj*  
Rahul Bajaj  
Chief Financial Officer, F.O.

Date: 21-10-2021

Place: Gurugram

**Note**

- These disclosures shall exclude any loan (or other form of debt), guarantee / comfort letter (by whatever name called) or security provided in connection with any loan or any other form of debt;
  - by a government company to/ for the Government or government company
  - by the listed entity to/for its subsidiary [and joint-venture company] whose accounts are consolidated with the listed entity.
  - by a banking company or an insurance company; and
  - by the listed entity to its employees or directors as a part of the service conditions
- If the Listed Entity would like to provide any other information, the same may be indicated as Para D in the above table.